

THE COUNCIL OF THE CITY OF NEW YORK

Speaker of the Council
Christine C. Quinn



Hon. Peter F. Vallone, Jr., Chair, Public Safety Committee

Hearing on the Mayor's Fiscal 2013 Preliminary Budget & the Fiscal 2012 Preliminary Mayor's Management Report

Office of Emergency Management

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Office of Emergency Management (OEM) Overview

The Office of Emergency Management (OEM) coordinates and supports multiagency responses to, and regularly monitors, emergency conditions and other incidents that might affect public health and safety in the City, including severe weather, natural hazards and disasters, power outages, transportation incidents, labor disruptions, aviation disasters and acts of terrorism. OEM develops and continually revises many of the City’s emergency response plans, including those for coastal storms, extreme winter weather, heat emergencies, power disruptions, and debris management. OEM educates residents and businesses on the need for preparedness and supports the efforts of City, other government agencies and private entities in emergency planning, interagency training and exercises and business continuity planning. OEM operates the City’s Emergency Operations Center and makes recommendations about the City’s emergency response capabilities. As the City’s primary liaison with the U.S. Department of Homeland Security for consequence management, OEM oversees the City’s compliance with federal preparedness and emergency response requirements.

This report provides a review of OEM’s Preliminary Budget for Fiscal 2013. In the first section the highlights of the Fiscal 2013 Preliminary Budget are presented, along with a discussion of grant funding for emergency response activities at OEM. The report then discusses current emergency response initiatives and related funds included in the November and February Financial Plans and reviews relevant sections of the Preliminary Mayor’s Management Report for Fiscal 2012. OEM has no capital budget.

Fiscal 2013 Preliminary Plan Highlights

017 – Office of Emergency Management					
	2011	2012	2012	2013	*Difference
<i>Dollars in Thousands</i>	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Personal Services	\$12,269	\$11,913	\$14,852	\$8,173	(\$3,740)
Other Than Personal Services	21,551	18,618	38,623	6,740	(11,878)
Agency Total	\$33,820	\$30,531	\$53,475	\$14,914	(\$15,618)

**The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan funding.*

- Hurricane Irene Emergency Management.** OEM will receive \$863,000 in Fiscal 2012 to cover the City’s 12.5 percent share of the total cost of emergency management activities associated with Hurricane Irene. Another 12.5 percent will be covered by the State and the remaining 75 percent will be covered by the Federal Emergency Management Agency (FEMA).
- Increase in Federal Grant-Funded Positions.** This Program to Reduce the Gap (PEG) initiative would swap city tax levy funds for federal grants to support one position in Fiscal 2012 totaling \$94,000 and two fulltime positions beginning in Fiscal 2013 for \$326,000.
- Post-Disaster Housing Funds Reduction.** OEM proposes to cut \$32,105 in Fiscal 2012 from the Post Disaster Housing Program’s one-time funding.

OEM Financial Summary

<i>Dollars in Thousands</i>	2011	2012	2012	2013	*Difference
	Actual	Adopted	Feb. Plan	Feb. Plan	2012 - 2013
Budget by Unit of Appropriation					
Personal Services (001)	\$12,269	\$11,913	\$14,852	\$8,173	(\$3,740)
Other Than Personal Services (002)	21,551	18,618	38,623	6,740	(11,878)
TOTAL	\$33,820	\$30,531	\$53,475	\$14,914	(\$15,618)
Funding					
City Funds	N/A	\$5,958	\$6,725	\$4,701	(\$1,257)
Federal - Other	N/A	23,811	45,204	10,213	(13,598)
Domestic Preparedness Equipment Support	N/A	960	2,495	37	(923)
FEMA Hurricane Irene	N/A	0	5,427	0	0
FFY07 Citizens Corp	N/A	0	126	67	67
FY09 Case Animal Sheltering	N/A	10	10	0	(10)
Interoperable Emergency Communications	N/A	0	600	0	0
Local Emergency Management Perfm	N/A	1,651	3,132	1,651	0
Metro Medical Response System	N/A	328	531	159	(169)
Regional Catastrophic Preparedness	N/A	3,615	8,688	1,675	(1,941)
Urban Areas Security Initiative	N/A	17,237	22,489	6,335	(10,902)
Urban Search Rescue and Response System	N/A	9	1,705	289	280
Intra City	N/A	0	84	0	0
Other Categorical	N/A	0	31.75	0	0
State	N/A	762	1,430	0	(762)
<i>SEMO Disaster Preparedness Program</i>	N/A	762	1,430	0	(762)
TOTAL	\$33,820	\$30,531	\$53,475	\$14,914	(\$15,618)
Positions					
Full-Time Positions - Civilian	132	26	128	24	(2)
TOTAL	132	26	128	24	(2)

**The difference of Fiscal 2012 Adopted compared to Fiscal 2013 February Plan funding.*

Overview of OEM's Budget Changes

The 2013 Preliminary Budget includes \$14.9 million for the Office of Emergency Management which is \$15.6 million below the Department's \$30.5 million Adopted Budget for Fiscal 2012. The Fiscal 2013 Preliminary Budget is also \$38.6 million below the Department's current Budget for Fiscal 2012 of \$53.5 million, a reduction of approximately 72-percent. This drop is largely accounted for by the fact that the federal grants in the Department's Fiscal 2012 Budget are not included in OEM's Fiscal 2013 Preliminary Budget and they normally constitute about 80 to 85 percent of OEM's budget.

Note that OEM's "Financial Summary" chart shows similarly volatile swings in its annual headcount. The chart shows OEM with a Fiscal 2012 Adopted headcount of 26 and a Fiscal 2013

Preliminary Budget headcount of 24. In between, the modified budget supports a full time headcount of 153 for Fiscal 2012. This is because such a large portion of OEM's headcount is grant-funded and thus vacillates with OEM's level of grant funding during the course of the fiscal year.

Federal funds are not generally baselined but, rather, are modified into the Department's budget on a year-by-year basis as grants are awarded. The exact amount of federal grant funds in the Department's Fiscal 2013 budget will not be known until sometime after the budget has been adopted and grant requests are approved and ultimately recognized within OEM's budget.

- **Increase in Federal Grants.** The Financial Plan recognizes a total of \$22.2 million in Fiscal 2012 and \$2 million in Fiscal 2013 in additional federal and state revenue.
- **Hurricane Irene Emergency Management.** In Fiscal 2012 OEM's city funds budget will increase by \$863,000 to cover the City's share of emergency management activities.

Appendix A: Budget Actions in the November and February Plans

<i>Dollars in Thousands</i>	FY 2012			FY 2013		
	City	Non-City	Total	City	Non-City	Total
OEM Budget as of June 2011 Plan	\$5,958	\$24,574	\$30,532	\$4,972	\$8,177	\$13,149
Program to Eliminate the Gap (PEGs)						
Federal Grant Funding Shift	(\$94)	\$0	(\$94)	(\$326)	\$0	(\$326)
Post-Disaster Housing Funding Reduction	(32)	0	(32)	0	0	0
TOTAL, PEGs	(\$126)	\$0	(\$126)	(\$326)	\$0	(\$326)
New Needs						
Hurricane Irene Emergency Response	\$863	\$0	\$863	\$0	\$0	\$0
TOTAL, New Needs	\$863	\$0	\$863	\$0	\$0	\$0
Other Adjustments						
Fringe Offsets	\$19	\$0	\$19	\$55	\$0	\$55
Local Initiatives	12	0	12	0	0	0
Federal	0	21,393	21,393	0	2,036	2,036
State	0	668	668	0	0	0
Other Categorical	0	32	32	0	0	0
IntraCity	0	84	84	0	0	0
TOTAL, Other Adjustments	\$31	\$22,177	\$22,207	\$55	\$2,036	\$2,091
TOTAL, All Changes	\$767	\$22,177	\$22,944	(\$271)	\$2,036	\$1,765
OEM Budget as of February 2012 Plan	\$6,725	\$46,751	\$53,476	\$4,701	\$10,213	\$14,914

Appendix B: Contract Budget

Contract Category <i>Dollars in thousands</i>	Number of Contracts	Budget	Percent of OEM Total
Contractual Services General	1	\$123	4.0%
Maintenance & Repair: Motor Vehicles and Equipment	1	20	0.7%
Office Equipment Maintenance	1	37	1.2%
Data Processing Equipment	1	152	5.0%
Printing Contracts	1	20	0.7%
Cleaning Services	1	20	0.6%
Transportation Expenditures	1	1	0.03%
Prof Services: Computer Services	1	10	0.3%
Prof Services: Other	1	2,677	87.5%
Fiscal 2013 Preliminary Budget	9	\$3,058	100.0%

Appendix C: Fiscal 2012 PMMR Performance Measures

	Actual			Updated Target		FY 11 4- Month Actual	FY 12 4- Month Actual
	FY 09	FY 10	FY 11	FY 12	FY 13		
Total incident responses	2,748	2,901	2,955	*	*	890	1,067
Monitored from OEM Watch Command	2,172	2,285	2,399	*	*	714	815
Emergency Operations Center activations	7	14	14	*	*	7	5
Field exercises/drills	9	11	6	4	4	4	3
Tabletop exercises	5	4	5	4	12	2	5
Participation in drills coordinated by other agencies	36	27	31	*	*	7	11
Employees trained in emergency response	977	1,822	1,990	1,500	1,500	567	633
Emergency preparedness education of residents - by OEM staff	8,940	27,161	14,290	15,000	15,000	2,980	5,403
by Agency partners using OEM curriculum	8,941	8,869	10,277	*	*	1,944	2,437
Emergency preparedness education of private/non-profit/government groups	2,134	1,592	1,166	2,400	2,400	480	317
Ready New York Guides viewed online	77,705	154,901	272,877	*	*	89,045	641,251
Community Emergency Response Team (CERT) members trained	469	211	230	*	*	NA	NA
CERT volunteer hours	17,698	15,290	24,636	*	*	8,929	9,921