



New York City Council

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Hearing on the Mayor's Fiscal Year 2011 Executive Budget

Department of Transportation

May 21, 2010

Committee on Finance

Hon. Domenic M. Recchia, Jr., Chair

Committee on Transportation

Hon. James Vacca, Chair

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Fiscal 2011 Executive Budget Summary and Highlights

	2008 Actual	2009 Actual	2010 Adopted	2010 Executive	2011 Executive	Difference, 2010 – 2011
Personal Services	\$306,514,988	\$311,372,956	\$335,092,138	\$383,071,127	\$331,667,201	(\$3,424,937)
Other than Personal Services	340,750,718	394,445,154	399,348,890	461,149,336	352,128,790	(47,220,100)
Table Total	\$647,265,706	\$705,818,110	\$734,441,028	\$844,220,463	\$683,795,991	(\$50,645,037)

The Department of Transportation's Fiscal 2011 Executive Budget totals \$683.8 million, which is \$50.6 million less than the Fiscal 2010 Adopted Budget of \$734.4 million. Because the City's fiscal year and the State and Federal fiscal years do not coincide, the Department reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and makes adjustments throughout the year as additional funds are received. To date, in Fiscal 2010 over \$128 million in State and federal funding have been realized post adoption.

The proposed Fiscal 2011 Executive Budget includes \$420.4 million of City-tax levy funds – 9.3 percent decrease from the Fiscal 2010 Adopted Budget of \$463.5 million. The Executive Budget includes \$16.6 million in Programs to Eliminate the Gap (PEGs), \$5.3 million in new needs for security initiatives, and an adjusted net of \$5.5 million in other adjustments, of which \$2 million is for programmatic rolls from Fiscal 2010 to Fiscal 2011. In addition, the Fiscal 2011 Executive Budget includes additional revenue of \$1.1 million from street opening and revocable consent permits, bringing total PEG revenues to \$16.7 million in Fiscal 2011.

Besides the one revenue PEG action, other PEG actions affecting the DOT's proposed Executive Budget include:

- **Agency-wide OTPS Reduction:** Agency wide baseline reduction to the Other Than Personal Services budget saving \$1.7 million in Fiscal 2011 (see p. 18).
- **Homeland Security Reimbursement – Port Security:** \$1.5 million federal funds for Pier 79 and Whitehall ferry terminal bollards and related design expenses in Fiscal 2011 only (see p. 12).
- **ISTEA Federal Funding Switch – Bridge Inspection:** Federal funding of \$1.9 million for bridges inspection in Fiscal 2011 (see p. 15).
- **CHIPS Funding Switch for Bridge Program:** DOT will use State funding of \$2.1 million for bridge maintenance program in Fiscal 2011(see p. 15).
- **MTA Bus Depot Funding Switch:** DOT will use State funding of \$797,000 for energy related costs at two city-owned bus depots in Fiscal 2011 and the outyears (see p. 18).
- **NYCWIN Modem Funding:** DOT will use \$5 million in federal funds to offset City funds for traffic signal modems upgrade in Fiscal 2011 only (see p. 7).
- **Streetlight Wattage Reduction Savings.** The Department will replace 250/150 watt cobrahead bulbs with energy efficient bulbs resulting in a savings of \$3.3 million in Fiscal 2011(see p. 7).

Department of Transportation

The Department of Transportation (DOT) is responsible for the safe and efficient movement of people and goods in New York City. To fulfill this mission, DOT builds and maintains streets, sidewalks, highways, bridges, and municipal parking facilities; maintains and operates municipal ferry systems and monitors private ferry systems; monitors private bus companies and the MTA Bus Company; participates in traffic regulation efforts; and serves as an advocate for better transportation. DOT is responsible for approximately 5,800 miles of streets and highways and 790 bridge structures, including six tunnels. The Department ensures traffic safety and mobility by mitigating the effects of construction on traffic; implementing engineering improvements; and installing and maintaining traffic signals at more than 11,900 signalized intersections. The Department also maintains over 1.3 million signs, over 300,000 streetlights, 69 million linear feet of markings and approximately 63,000 parking meters. The Department encourages the use of mass transit by operating the Staten Island Ferry and promoting new private ferry routes. The Department also encourages the use of alternative modes of transportation, and administers a citywide program advancing the use of alternative fuels.

By 2030, the City's population is anticipated to grow by 12.5%. In that time, employment in the City is expected to rise approximately 20% while the daily traffic volume is expected to increase by approximately 6%. Increasing congestion on the City's highways will further exacerbate the difficulties of goods movement, as over 95% of all goods are shipped into the City via trucks. DOT's challenge, in the face of these trends, will be to channel as much of this rising demand into mass transit as possible, while still maintaining a viable and safe local street system. At the same time, the City must maintain its aging bridge and arterial network so that vital transportation links do not become either structurally deficient or congested, or pollution-causing bottlenecks, impeding the City's economic growth.

Key Public Services Areas

- Ensure the safety of motorists, pedestrians, and bicyclists traveling in New York City
- Improve traffic mobility and reduce congestion throughout the City
- Rehabilitate and maintain the City's bridges
- Rehabilitate and maintain the City's streets, sidewalks, and highways
- Encourage the use of mass transit and alternative modes of transportation

Critical Objectives

- Ensure pedestrian, bicyclist, and motorist safety
- Install and maintain traffic controls and safety devices
- Manage traffic flow
- Manage parking and curbside use
- Complete bridge maintenance and capital projects promptly
- Ensure accessibility of streets and sidewalks citywide
- Ensure cleanliness of the arterial highway system
- Ensure the quality of contractor work
- Expand and improve private ferry service
- Maintain and improve the Staten Island Ferry operation
- Expand the bicycle network.

SOURCE: Mayor's Management Report

Department of Transportation Financial Summary

Dollars in Thousands

	2008 Actual	2009 Actual	2010 Adopted	2010 Exec. Plan	2011 Exec. Plan	*Difference 2010–2011
Budget by Program Area						
Bridge Engineering and Administration	\$24,989	\$27,017	\$26,452	\$28,505	\$26,667	\$215
Bridge Maintenance, Repair & Operations	56,332	59,990	48,352	65,988	46,771	(1,581)
DOT Management & Administration	48,212	56,497	43,966	51,508	43,704	(262)
DOT Vehicles & Facilities Mgmt & Maintenance	18,421	35,531	30,228	37,314	28,861	(1,367)
Ferry Administration & Surface Transit	6,816	6,799	3,811	8,190	3,991	180
Municipal Ferry Operation & Maintenance	82,924	80,973	93,765	98,139	94,536	771
Pre-K Bus Program Intra-City	4	0	0	0	0	0
Roadway Construction Coordination & Admin.	9,230	9,751	11,431	11,808	11,781	350
Roadway Repair, Maintenance & Inspection	175,015	192,321	184,837	204,960	174,127	(10,710)
Traffic Operations & Maintenance	255,397	294,855	279,486	291,980	241,427	(38,059)
Traffic Planning Safety & Administration	20,414	24,258	12,113	45,828	11,931	(182)
WTC Disaster Related Expenses	31	-1	0	0	0	0
TOTAL	\$697,786	\$787,993	\$734,441	\$844,220	\$683,796	(\$50,645)
Funding						
City Funds	N/A	N/A	\$463,540	\$435,873	\$420,414	(\$43,126)
Other Categorical	N/A	N/A	33	1,596	34	1
State	N/A	N/A	44,347	90,606	40,504	(3,843)
Capital - IFA	N/A	N/A	180,563	187,522	172,751	(7,812)
Federal – Other	N/A	N/A	44,548	127,049	48,710	4,162
Intra-City	N/A	N/A	1,409	1,574	1,383	0
TOTAL	N/A	N/A	\$734,441	\$844,220	\$683,796	(\$50,618)
Positions						
Fulltime Positions	4,348	4,423	4,242	4,853	4,180	(62)
Contracts	N/A	N/A	562	568	535	0
TOTAL	4,348	4,423	4,804	5,421	4,715	(62)

Capital Program

Capital Budget Summary

The May 2010 Capital Commitment Plan includes \$4 billion in Fiscals 2011 -2014 for the Department of Transportation (including City and Non-City funds). This represents 14.1 percent of the City's total \$28.6 billion Executive Plan for Fiscals 2011-2014. The agency's May Commitment Plan for Fiscals 2011 - 2014 is 20 percent less than the \$5 billion scheduled in the September Commitment Plan, a decrease of \$1 billion.

Over the past five years the Department of Transportation has only committed an average of 55.3 percent of its annual capital plan. Therefore, it is assumed that a large portion of the agency's Fiscal 2010 capital plan will be rolled into Fiscal 2011 thus greatly increasing the size of the Fiscal 2011-2014 capital plan. Since adoption last June, the Capital Commitment Plan for Fiscal 2010 has decreased from \$2.45 billion to \$2 billion, a reduction of \$440.8 million or 18 percent.

Currently the Department of Transportation's appropriations total \$3.4 billion in city-funds for Fiscal 2010. These appropriations are to be used to finance the agency's \$1.2 billion city-funded Fiscal 2010 capital commitment program. The agency has \$2.2 billion or nearly three times more funding than it needs to meet its entire capital commitment program for the current fiscal year.

FY 2011 Executive Capital Commitment Plan

Dollars in thousands

	2011	2012	2013	2014	2011-2014
Highway Bridges (HB)	\$387,163	\$665,065	\$305,728	\$333,550	\$1,691,506
Waterway Bridges (BR)	65,739	1,631	1,631	11,114	80,115
Equipment (TD)	51,197	5,864	3,652	3,100	63,813
Ferries & Aviation (FA)	58,613	14,705	29,352	11,191	113,861
Highways (HW)	717,987	314,932	210,111	339,908	1,582,938
Traffic (TF)	301,712	72,449	47,727	92,245	514,133
TOTAL	\$1,582,411	\$1,074,646	\$598,201	\$791,108	\$4,046,366

Issues/Highlights

- **Belt Shore Pkwy Fresh Creek:** \$6.4 million in funding for this project has been rolled from Fiscal 2010 to Fiscal 2011 and increased by \$5.5 million to reflect current construction schedule and needs.
- **Chatham Square - Phase II:** Due to design delays, planned commitments totaling \$5 million for the Chatham Square Phase II project has been rolled from Fiscal 2010 to Fiscal 2011.
- **In-House Resurfacing - Asphalt:** Funding for in-house resurfacing was increase by \$37.7 million over the five years for a total of \$228.5 million. The Department produces approximately 50 percent of it annual asphalt needs at its Hamilton Avenue Asphalt Plant using 40 percent reclaimed materials. The additional funding will allow DOT's to maintain its current lane mile target of 900 miles annually.

- **Macombs Dam Bridge Retrofit:** The Plan includes an additional \$2.1 million for the Macombs Dam Bridge retrofit. The additional allocations are to be used to re-encumber funds that were de-registered in 2009, bringing total planned commitments for this project to \$87.3 million.
- **East 153rd Street Bridge at Park Avenue:** Due to design delays, planned commitments totaling \$6 million for the East 153rd Street Bridge at Park Avenue has been rolled from Fiscal 2010 to Fiscal 2011.
- **Protection against Marine Borers Citywide:** DOT's ACCO rejected all bids received for this project in Fiscal 2010. As a result, \$32.4 million has been rolled into Fiscal 2011 when the project is expected to be rebid.
- **Belt Parkway Bridge Over Paerdegat Basin:** Due to a re-estimate of costs for construction support services, funding for the Belt Parkway Bridge over Paerdegat Basin project was reduced by \$3.8 million, down from \$55.5 million to \$51.7 million, in Fiscal 2010.
- **Claremont Parkway Bridge/Metro-North:** Due to higher than expected construction bids over the initial estimates prepared in 2003, an additional \$6.5 million has been added to the Claremont Parkway Bridge project in Fiscal 2010. Total planned commitments for this project are now \$14.9 million, reflecting the most recent cost estimate.

Program Areas

Traffic Operations and Maintenance

The Division of Traffic Operations is responsible for the safe and efficient movement of people and goods on the City's streets and for the development, installation, and maintenance of the City's traffic signals, street lights, traffic signs and roadway markings. The division manages the municipal parking facilities and parking meters as well as the Department's Traffic Management Center (TMC). The TMC monitors real-time traffic conditions, controls thousands of computerized traffic signals, and operates variable message signs on the City's major arteries. The division also manages the Red Light Camera program, which is designed to promote safe, responsible driving by photographing and fining vehicles that run red lights. Currently, the DOT has 170 cameras installed at 150 locations citywide.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$54,816	\$59,100	\$52,054	\$60,043	\$51,937	(\$117)
Other Salaried and Unsalaries	984	1,041	781	842	781	0
Additional Gross Pay	3,272	3,254	1,239	1,254	1,239	0
Overtime - Civilian	9,935	11,369	5,594	6,072	5,454	(140)
Fringe Benefits	444	1,075	627	4,583	627	0
Amounts to be Scheduled	0	0	793	793	793	0
Subtotal, PS	\$69,451	\$75,839	\$61,088	\$73,587	\$60,831	(\$257)
Other than Personal Services						
Supplies and Materials	\$8,411	\$10,521	\$30,621	\$14,895	\$19,723	(\$10,898)
Property and Equipment	2,560	1,638	2,559	1,870	4,158	1,599
Other Services and Charges	73,850	82,895	99,680	82,185	79,294	(20,386)
Contractual Services	100,994	123,840	85,400	119,081	77,284	(8,116)
Fixed and Misc Charges	131	122	138	361	137	(1)
Subtotal, OTPS	\$185,946	\$219,016	\$218,398	\$218,392	\$180,596	(\$37,802)
TOTAL	\$255,397	\$294,855	\$279,486	\$291,979	\$241,427	(\$38,059)
Funding						
Capital IFA City	N/A	N/A	\$13,843	\$14,050	\$12,672	(\$1,171)
City	N/A	N/A	239,234	211,937	198,289	(40,945)
Federal – Other	N/A	N/A	24,017	42,910	29,049	5,032
Other Categorical	N/A	N/A	33	1,370	33	0
Intra City	N/A	N/A	0	23	0	0
State	N/A	N/A	2,360	21,689	1384	(\$976)
TOTAL	N/A	N/A	\$279,487	\$291,979	\$241,427	(\$38,060)

DOT's Fiscal 2011 Executive Budget includes \$241.4 million for traffic operations and maintenance \$38.1 million less than the Fiscal 2010 Adopted Budget of \$279.5 million and \$50.5 million less than the current Modified Budget. These variances are primarily the result of changes in the budget for other than personal services (OTPS) costs. Additional variances exist because the Department reports only baseline funding

and State and federal grants that it anticipates at the beginning of the fiscal year, adjustments are made throughout the year as additional State and federal funding are received. The Fiscal 2010 Budget includes an additional \$38.2 million in State and federal sources not assumed at adoption last year. These funds include \$6.5 million for bicycle network development, \$11.3 million for thermoplastics markings and \$1.5 million for school safety initiatives.

The Division of Traffic Operations Fiscal 2011 Executive Budget includes funding for 1,024 positions for traffic operations and maintenance, a decrease of six positions compared to the Fiscal 2010 Adopted Budget. This decrease is part of an agency-wide reduction in headcount beginning in Fiscal 2011.

Since the Fiscal 2010 Budget was adopted last June, specific actions affecting the Traffic Operations and Maintenance program area include the following:

- **NYCWIN Modem Funding Switch:** In Fiscal 2011, DOT will use federal funds in place of City tax-levy funds for the upgrade of traffic signal modems associated with its Automated Traffic Control Systems (ASTCs).
- **Streetlight Wattage Reduction Savings:** DOT will replace additional 250/150 watt cobrahead fixtures with more energy efficient 150/100 watt fixtures. This action is anticipated to generate savings of \$3.3 million in Fiscal 2011 and \$4.5 million in Fiscal 2012 and the outyears. The Department will be able to maintain the same light emission through the use of reflectors.
- **Increased Manhattan Parking Rates:** DOT will increase the hourly parking rate from \$2 to \$2.50 for multi-space meters in Manhattan. The new rate will apply to spaces in the area bounded by Battery Park to 86th Street and 2nd to 9th Avenues for passenger parking and from 14th Street to 60th Street and 2nd to 10th Avenues for commercial parking. The Department anticipates additional revenue of \$600,000 in Fiscal 2010 and \$13.6 million in Fiscal 2011 and the outyears that is offset by \$400,000 in Fiscal 2010 and \$1.4 million in Fiscal 2011 and the outyears for related OTPS costs. This action brings total parking meter revenue to \$157 million in Fiscal 2011, a 31 percent increase over the Fiscal 2009 actual parking meters revenue of \$119.7 million. For Fiscal 2010, parking meters revenue is projected to be \$140 million.
- **Planning and Sustainability OTPS Reduction:** In Fiscal 2008, DOT was allocated funding to plan and implement its part of the City's PlaNYC 2030 initiatives. Funds were allocated for three key sustainability initiatives: Bike Network Development, Bus Initiative for bus only lanes, and Intelligent Transportation Systems (ITS) to enable DOT to respond in real-time to emerging traffic conditions citywide. DOT's Fiscal 2011 Budget reduces tax-levy OTPS funding for the Bike Network initiative by \$1.1 million in Fiscal 2010 and \$5.1 million in Fiscal 2011 and the outyears. It should be noted that in Fiscal 2010 the Department received \$6.5 million in federal funds, post budget adoption, for Bicycle Network Development. As such, it is foreseeable that additional federal funds could be available in Fiscal 2011 for this initiative.
- **Signal Maintenance Contract Savings:** The Department anticipates a savings of \$6.2 million in Fiscal 2010 and \$11.4 million in Fiscal 2011 and Fiscal 2012 as the result of recently implemented changes in signal maintenance contracts. In the past, bids for these contracts were made separately for each borough. The new process simplifies the bidding process by allowing bidders to bid across borough lines.

Roadway Repair, Maintenance and Inspection

The Department's Roadway Repair, Maintenance and Inspection program area is responsible for the maintenance and inspection of approximately 5,700 linear miles of streets and arterial highways within the five boroughs. In an effort to maintain the arterial highways within the City, and increase community participation, the Department manages the Adopt-a-Highway Program. This program enables sponsors to adopt up to 362 miles of highway and contribute funding for the cleaning and maintenance of the roadside. Additionally, the Division utilizes available State aid to perform both road maintenance and repair activities. The Division annually cleans and maintains 1,175 lane miles of arterial highway and 2,525 acres of landscaped areas and shoulders. The Division also monitors "street cut" activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$68,333	\$71,535	\$74,584	\$81,045	\$72,443	(\$2,141)
Other Salaried and Unsalariated	8,994	12,558	7,504	7,633	7,504	0
Additional Gross Pay	7,656	6,596	3,349	3,351	3,349	0
New Positions			14	14	14	0
Overtime - Civilian	14,048	14,952	10,006	10,727	9,877	(129)
Fringe Benefits	530	698	486	3,894	486	0
Subtotal, PS	\$99,561	\$106,339	\$95,943	\$106,664	\$93,673	(\$2,270)
Other than Personal Services						
Supplies and Materials	\$56,629	\$65,036	\$55,987	\$61,289	\$55,691	(\$296)
Property and Equipment	963	532	1,265	1,372	1,265	0
Other Services and Charges	9,693	12,918	11,518	20,421	9,516	(2,002)
Contractual Services	8,163	7,496	20,104	15,202	13,961	(6,143)
Fixed and Misc Charges	5	2	20	10	20	0
Subtotal, OTPS	\$75,453	\$85,984	\$88,894	\$98,294	\$80,453	(\$8,441)
TOTAL	\$175,014	\$192,323	\$184,837	\$204,958	\$174,126	(\$10,711)
Funding						
Capital IFA City	N/A	N/A	\$138,167	\$144,548	\$131,118	(\$7,049)
City	N/A	N/A	38,803	38,286	35,141	(3,662)
Federal – Other	N/A	N/A	0	686	0	0
Intra City	N/A	N/A	0	44	0	0
State	N/A	N/A	7,867	21,394	7,867	0
TOTAL	N/A	N/A	\$184,837	\$204,958	\$174,126	(\$10,711)

DOT's Fiscal 2011 Executive Budget includes \$174 million in Fiscal 2011 for roadway repair, maintenance and inspections, \$10.7 million less than the amount allocated in the Fiscal 2010 Adopted Budget. The decrease in funding is primarily attributable to reduced intra-funding agreements (IFAs), which are funding shifts from the capital budget to the expense budget for capital work performed by the Department's staff. The Fiscal 2011 Budget includes only \$131 million in IFA-funds for this program area compared to \$138 million in Fiscal 2010. The funding may increase post adoption if additional intra-funds agreements are recognized.

The Fiscal 2011 Executive Budget provides funding for 991 positions for roadway repair, maintenance and inspection, a decrease of 16 positions compared to the Fiscal 2010 Adopted Budget number of 1,007 positions. This reduction is in line with the agency-wide reduction in headcount beginning in Fiscal 2011.

Since the Fiscal 2010 Budget was adopted last June, two PEG actions affecting this program area have been taken, resulting in City tax-levy savings of \$2.5 million in Fiscal 2011.

- **Eliminate Ponding/Speed Bump Unit:** The Department will eliminate its dedicated Ponding and Speed Bump Unit for a savings of \$720,000 in Fiscal 2010 and \$1.4 million in Fiscal 2011 and the outyears. The ponding unit consists of 10 positions and was recently added to provide citywide response to street ponding conditions in addition to speed bump installation. Future speed bump and ponding work will now be completed by Roadway Repair and Maintenance division units. As acknowledged by the Department, this cut could lead to reduced response times for repairing ponding issues and installing speed bumps.
- **Arterial Highway Weekend Overtime Reduction:** DOT anticipates savings of \$66,000 in Fiscal 2010 and \$1.1 million in Fiscal 2011 and the outyears from the reduction of weekend overtime. Of the proposed savings, \$66,000 in Fiscal 2010 and \$133,000 Fiscal 2011 and the outyears is from the Department's arterial community service weekend cleaning program. This program provides avenue for individuals to comply with court ordered community service sentencing by cleaning highways. Program participants will now have to complete their mandated service during weekday hours.

Roadway Construction Coordination and Administration

The Department supervises street resurfacing and repair work, permits management, and maintains and repairs vehicles and equipment used for street and arterial maintenance programs.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$7,327	\$7,731	\$8,812	\$9,197	\$9,157	\$345
Other Salaried and Unsalariated	648	706	835	841	841	6
Additional Gross Pay	252	320	343	343	343	0
Overtime - Civilian	624	726	788	788	787	(1)
Fringe Benefits	0	0	0	83	\$0	0
New Positions	0	0	0	0	0	0
Subtotal, PS	\$8,851	\$9,483	\$10,778	\$11,252	\$11,128	\$350
Other than Personal Services						
Supplies and Materials	\$130	\$74	\$121	\$92	\$121	\$0
Property and Equipment	16	15	27	43	27	0
Other Services and Charges	43	19	30	26	30	0
Contractual Services	191	156	476	395	476	0
Fixed and Misc Charges	0	3	0	0	0	0
Subtotal, OTPS	\$380	\$267	\$654	\$556	\$654	\$0
TOTAL	\$9,231	\$9,750	\$11,432	\$11,808	\$11,782	\$350
Funding						
Capital IFA City	N/A	N/A	\$2,180	\$2,181	\$2,186	\$6
City	N/A	N/A	9,250	9,381	9,596	346
Federal – Other	N/A	N/A	0	56	0	0
State	N/A	N/A	0	190	0	0
TOTAL	N/A	N/A	\$11,430	\$11,808	\$11,782	\$352

DOT's Fiscal 2011 Executive Budget includes \$11.8 million in Fiscal 2011 for roadway construction coordination and administration – a slight increase of \$351,000 compared to the Fiscal 2010 Adopted Budget amount of \$11.4 million, primarily due to collective bargaining adjustments. The Fiscal 2011 headcount for this program area remains at 114 positions, the same as at Fiscal 2010 Adoption.

Municipal Ferry Operation and Maintenance

This program area is responsible for the operation of the Staten Island Ferry and the Hart Island Ferry services, as well as the maintenance of dock and ferry terminal facilities. The Staten Island Ferry currently carries over 20 million passengers annually on a 5.2-mile run between the St. George Terminal in Staten Island and the Whitehall Terminal in lower Manhattan. Service is provided 24 hours a day, 365 days a year. A typical weekday schedule involves the use of five boats to transport approximately 65,000 passengers daily (110 daily trips). During the day, between rush hours, boats are regularly fueled and maintenance work is performed. Terminals are cleaned around the clock and routine terminal maintenance is performed on the day shift. On weekends, three boats are used (64 trips each weekend day). Over 33,000 trips are made annually. The Fiscal 2010 Adopted Budget contains federal stimulus funding of \$10.1 million in Fiscal 2010, Fiscal 2011 and Fiscal 2012 for ferry transit maintenance in addition to the \$277 million, which was allocated in Fiscal 2009 only for various capital projects. The funded projects include the reconstruction of ramps at the St. George Ferry Terminal and the dry-docking of six Molinari and Barberi class ferryboats.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$30,281	\$31,982	\$45,656	\$42,370	\$43,028	(\$2,628)
Other Salaried and Unsalaries	418	438	109	109	109	0
Additional Gross Pay	3,112	3,316	1,748	1,788	1,748	0
Overtime - Civilian	13,491	12,073	6,352	6,274	6,601	249
Fringe Benefits	97	716	380	380	380	0
Subtotal, PS	\$47,399	\$48,525	\$54,245	\$50,921	\$51,866	(\$2,379)
Other than Personal Services						
Supplies and Materials	\$15,707	\$11,243	\$6,892	\$14,429	\$11,936	\$5,044
Property and Equipment	686	335	247	336	247	0
Other Services and Charges	234	70	5,936	4,167	2,911	(3,025)
Contractual Services	18,883	20,784	26,427	28,263	27,558	1,131
Fixed and Misc Charges	14	15	18	22	18	0
Subtotal, OTPS	\$35,524	\$32,447	\$39,520	\$47,217	\$42,670	\$3,150
TOTAL	\$82,923	\$80,972	\$93,765	\$98,138	\$94,536	\$771
Funding						
Capital IFA City	N/A	N/A	\$1,881	\$1,887	\$1,891	\$10
City	N/A	N/A	42,022	34,913	48,707	6,685
Federal – Other	N/A	N/A	20,014	31,613	17,558	(2,456)
Intra City	N/A	N/A	1,075	1,075	1,075	0
State	N/A	N/A	28,773	28,650	25,305	(3,468)
TOTAL	N/A	N/A	\$93,765	\$98,138	\$94,536	\$771

DOT's Fiscal 2011 Executive Budget includes \$94.5 million in Fiscal 2011 for municipal ferry operation and maintenance –\$700,000 more than the Fiscal 2010 Adopted Budget amount of \$93.8 million. The increase is net effect of actions that include funding transfer of \$3.7 million from DOT to Economic Development Corporation's (EDC) budget for an East River ferry initiative, \$5.3 million in new needs for security initiatives and a decrease in personal services costs in line with the agency-wide reduction in headcount effective Fiscal 2011. The Fiscal 2011 Executive Budget headcount for this program area is 614 – a

decrease of 18 positions compared to the Fiscal 2010 Adopted Budget amount of 632 positions.

Actions taken which affect this program area since the Fiscal 2010 Budget adoption last June are as follows:

- **Continue Night Ferry:** The Fiscal 2011 Executive Budget includes a baseline restoration of \$1.5 million for overnight ferry service for the Staten Island Ferry. Funding for night ferry service was eliminated as a result of a 2003 proposal to contract out overnight ferry service on the Staten Island Ferry to a private contractor between the hours of 12 am and 6 am for a savings of \$1.2 million annually. However, that has not happened and this action will fully restore overnight ferry service in Fiscal 2011 and the outyears.
- **Homeland security Reimbursement – Port Security:** DOT will use Homeland Security funds of \$1.5 million in place of City tax-levy funds for port security. Of that amount, \$910,054 is the cost of bollards Pier 79 and \$637,877 is for the Whitehall ferry terminal bollards and related design expenses in Fiscal 2011 only.
- **Ferry Security Funding:** The Executive Budget includes additional funding of \$5.2 million for costs associated with security of the Staten Island Ferry in Fiscal 2011 only.
- **New York Stock Exchange Bollard Maintenance:** Beginning in Fiscal 2011, DOT will receive additional \$125,000 annually for costs associated with the maintenance of bollards at the New York Stock Exchange.
- **ARRA Operating Assistance Funding Switch:** DOT will use federal stimulus funds no longer needed for capital ferry projects in place of City tax-levy funds for Staten Island Ferry maintenance projects. This action will result in a savings of \$4.7 million in Fiscal 2010 only.
- **Reduce Injury Claims (Jones act):** Since the implementation of a Safe Management System that governs interstate boating, DOT has experienced a significant annual decrease in lost time due to injuries. As a result, the Department anticipates savings of \$1 million in Fiscal 2010, Fiscal 2011 and the outyears from injury related claims.
- **Ferry Maintenance Funding Switch:** DOT will use Federal Transit Administration (FTA) funds in place of City tax-levy funds for Staten Island Ferry maintenance. This action will result in a savings of \$3.9 million in Fiscal 2010 and \$3.1 million in Fiscal 2011.
- **Eliminate Men’s Room Deckhand:** DOT will, through attrition, eliminate 12 deckhand positions, not subject to the Coast Guard Certification requirement, responsible for the cleaning of the men’s room on the Staten Island Ferry. This action will reduce the Department’s budget by \$841,000 in Fiscal 2011.
- **Eliminate Cleaning Crew Deckhand Position:** DOT will, through attrition, eliminate eight deckhand positions from the Staten Island Ferry’s cleaning crew. It is anticipated that DOT will redeploy Matron Position (City Attendants) to replace the eliminated deckhand positions. This action will reduce the Department’s budget by \$363,000 in Fiscal 2011.
- **Rockaway Ferry Service Elimination:** Funding for ferry service to the Rockaways totaling \$300,000 has been removed from the Fiscal 2011 Preliminary Budget, effective April 2010. The Rockaway Ferry initiative was first funded in Fiscal 2002 by the City Council as a pilot ferry program to help introduce water borne transportation alternative for the Rockaways.
- **East River Ferry Funding Transfer:** This action transfers funding of \$2.1 million in Fiscal 2010, \$3.7 million in Fiscal 2011 and \$3.9 million in Fiscal 2012 from DOT to EDC for an East River ferry service pilot program.

Ferry Administration and Surface Management

This program area is responsible for regulating private ferries, in addition to overseeing subsidies to the MTA Bus Company (MTABC) and the Atlantic Bus Express paid from the City's Miscellaneous Budget. In 2006, the City finalized the transfer of subsidized local and express bus service formerly provided by private franchise bus companies to the MTABC. MTABC is primarily funded through farebox revenues and City subsidies. In addition to five facilities leased from private owners, the City currently owns three bus depots dedicated to MTABC operations, located in Yonkers, Southeast Brooklyn, and College Point, Queens.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$3,775	\$3,934	\$3,284	\$4,505	\$3,463	\$179
Other Salaried and Unsalared	168	100	17	118	17	0
Additional Gross Pay	118	127	309	309	309	0
Overtime - Civilian	341	336	136	136	137	1
Fringe Benefits	0	0	0	591	0	0
Subtotal, PS	\$4,402	\$4,497	\$3,746	\$5,659	\$3,926	\$180
Other than Personal Services						
Supplies and Materials	\$545	\$527	\$19	\$666	\$19	\$0
Property and Equipment	33	11	6	5	6	0
Other Services and Charges	370	1,048	27	876	27	0
Fixed and Misc Charges				0	0	0
Contractual Services	1,466	717	12	982	12	0
Subtotal, OTPS	\$2,414	\$2,303	\$64	\$2,529	\$64	\$0
TOTAL	\$6,816	\$6,800	\$3,810	\$8,188	\$3,990	\$180
Funding						
Capital IFA City	N/A	N/A	\$119	\$119	\$119	\$0
City	N/A	N/A	2,591	4,124	3,871	1,280
Federal – Other	N/A	N/A	0	2,506	0	0
State	N/A	N/A	1,100	1,439	0	(1,100)
TOTAL	N/A	N/A	\$3,810	\$8,188	\$3,990	\$180

DOT's Fiscal 2011 Executive Budget includes \$4 million in Fiscal 2011 for ferry administration and surface management –\$180,000 more than the Fiscal 2010 Adopted Budget amount of \$3.8 million. This increase is primarily due to collective bargaining adjustments. In line with the agency-wide headcount reduction, the Fiscal 2011 Executive Budget includes funds for 42 positions in Fiscal 2011 for this program area –a decrease of eight positions compared to the Fiscal 2010 Adopted Budget amount of 50 positions. Savings from the headcount reduction are partly offset by the increases for collective bargaining adjustments.

While funding for this program area remains relatively unchanged since Fiscal 2010 Adoption, the sources of funding have shifted. At adoption last year this program area included \$1.1 million in federal and State funds, which have now increased to \$3.9 million. The current plan for Fiscal 2011 includes no federal or State funding for this program area and \$1.3 million additional funds from the City.

Bridge Maintenance, Repair and Operations

The Department's Division of Bridges is responsible for the inspection, maintenance, repair and operation of 789 structures, including 758 non-movable bridges, 25 movable bridges, and six tunnels including the four East River bridges. While the Division is responsible for the capital rehabilitation of the 61 culverts in Staten Island, maintenance and inspection responsibilities remain with the New York City Department of Environmental Protection. In addition, the Bridge Division designs and supervises consultant designs of bridge projects and oversees major bridge reconstruction and construction work. The Division is comprised of six bureaus: Roadway Bridges; East River Bridges/Movable Bridges/Tunnels; Engineering Review; Bridge Maintenance/Inspections/Operations; Specialty Engineering and Construction; and Management Support Services.

	2008	2009	2010	2011	Difference	
<i>Dollars in thousands</i>	Actual	Actual	Adopted	Exec Plan	2011 vs. 2010	
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$27,895	\$28,749	\$31,131	\$34,259	\$32,263	\$1,132
Other Salaried and Unsalariated	880	950	2	2	2	0
Additional Gross Pay	1,592	1,120	563	563	563	0
Overtime - Civilian	7,908	8,241	4,285	5,375	4,321	36
Fringe Benefits	3,611	2,723	2,435	3,980	2,435	0
Subtotal, PS	\$41,886	\$41,783	\$38,416	\$44,179	\$39,584	\$1,168
Other than Personal Services						
Supplies and Materials	\$2,611	\$2,469	\$2,429	\$3,624	\$2,429	\$0
Property and Equipment	238	181	359	390	359	0
Other Services and Charges	738	742	801	950	829	28
Contractual Services	10,803	14,806	6,330	16,828	5,169	(1,161)
Fixed and Misc Charges	57	10	15	16	15	0
Subtotal, OTPS	\$14,447	\$18,208	\$9,934	\$21,808	\$8,801	(\$1,133)
TOTAL	\$56,333	\$59,991	\$48,350	\$65,987	\$48,385	\$35
Funding						
Capital IFA City	N/A	N/A	\$1,631	\$1,632	\$1,640	\$9
City	N/A	N/A	43,232	40,954	40,184	(3,048)
Federal – Other	N/A	N/A	0	15,554	1,926	1,926
Intra City	N/A	N/A	285	410	285	0
State	N/A	N/A	3,203	7,437	4,351	1,148
TOTAL	N/A	N/A	\$48,351	\$65,987	\$48,386	\$35

DOT's Fiscal 2011 Executive Budget includes \$48.4 million for bridge maintenance, repair and operations in Fiscal 2011 – relatively unchanged compared to the Fiscal 2010 Adopted Budget of \$48.4 million. These funds will help the Department to continue to provide adequate maintenance of the City's bridges. In Fiscal 2010, total federal funding for this program area is \$15.5 million, of which \$5.2 million is for movable bridges; \$3.8 million is for the maintenance of the Manhattan, Queens Borough and Brooklyn Bridges, and \$2.6 million is for bridges inspection, among others. The Fiscal 2011 Plan includes only \$1.9 million in federal funding for bridge maintenance, repair and operations. It is worth noting that in prior fiscal years actual funding for bridge operations increased post adoption as federal funds became available. Thus, it is

likely that funding for bridge operations will increase in Fiscal 2011 as additional federal funds are recognized.

In addition, in line with the agency-wide headcount reduction the Fiscal 2011 Budget includes funding for 454 positions in this program area –a decrease of four positions compared to the Fiscal 2010 Adopted Budget amount of 458 positions. Anticipated savings from the headcount reduction is offset by needs for collective bargaining adjustments.

Since the adoption of the Fiscal 2010 Budget, funding for this program area has been impacted by the following actions involving the replacement of City tax-levy funds with State or federal funds.

- **CHIPS Funding Switch for Bridge Program:** DOT will use State funding from the Consolidated Highway Improvement Program (CHIPS) in place of City tax-levy funds under the bridge maintenance program for 13 positions and associated OTPS costs for a savings of \$1.8 million in Fiscal 2010 and \$2.1 million in Fiscal 2011.
- **ISTEA – Federal Funding Switch:** DOT will use Intermodal Surface Transportation Efficiency Act (ISTEA) federal funds of \$1.9 million in place of City tax-levy funds for in-house inspection of vehicular and pedestrian bridges and 24 positions in Fiscal 2011 only.

Bridge Engineering and Administration

This program area is responsible for the design of bridge projects and supervision of consultant designs of bridge projects and to oversee capital budget work on City-owned bridges. The funding for bridge engineering and design is provided primarily from the City's capital budget through intra-fund agreements (IFA). Since 2000, this Division has managed over \$3 billion in bridge capital reconstruction projects including a number of projects to rehabilitate the East River Bridges, namely the Brooklyn, Manhattan, Williamsburg and Queensboro Bridges. Over the last few years other major bridges have been completely replaced, including the Third Avenue, Macombs Dam and 145th Street spans over the Harlem River. Over the next ten years, DOT has \$4.5 billion in the Ten Year Capital Strategy plan to spend on bridge reconstruction projects.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$19,992	\$21,368	\$22,721	\$23,932	\$23,247	\$526
Other Salaried and Unsalaries	294	304	2	4	4	2
Additional Gross Pay	866	885	842	842	842	0
Overtime - Civilian	969	1,046	815	815	815	0
Fringe Benefits	0	0	1	369	379	378
Subtotal, PS	\$22,121	\$23,603	\$24,381	\$25,962	\$25,287	\$906
Other than Personal Services						
Supplies and Materials	\$142	\$100	\$283	\$285	\$283	0
Property and Equipment	157	9	283	272	283	0
Other Services and Charges	2,205	2,332	582	552	304	(278)
Contractual Services	302	972	898	1,411	484	(414)
Fixed and Misc Charges	61	0	26	23	26	0
Subtotal, OTPS	\$2,867	\$3,413	\$2,072	\$2,543	\$1,380	(\$692)
TOTAL	\$24,988	\$27,016	\$26,453	\$28,505	\$26,667	\$214
Funding						
Capital IFA City	N/A	N/A	\$18,452	\$18,745	\$18,757	\$305
City	N/A	N/A	8,000	8,518	6,294	(1,706)
Federal – Other	N/A	N/A	0	1,242	1,616	1,616
State	N/A	N/A	0	0	0	0
TOTAL	N/A	N/A	\$26,452	\$28,505	\$26,667	\$215

DOT's Fiscal 2011 Executive Budget includes \$26.6 million in Fiscal 2011 for engineering and administration– an increase of \$146,000 over the Fiscal 2010 Adopted Budget amount of \$26.4 million. This increase is the result of collective bargaining adjustments. DOT was able to secure \$1.2 million in federal funds for this program area post-adoption. Currently, the agency anticipates that it would receive \$1.6 million in federal funds in Fiscal 2011 for this program area.

DOT Management and Administration

This program area consists of the commissioner's office and all other agency-wide administrative services, including management information and analysis, management planning, finance, personnel, labor relations, general procurement services, data processing, general counsel, public information and information systems. The agency's six Borough Commissioners, including the Borough Commissioner for Lower Manhattan, are also included in this program area. The Borough Commissioners are charged with dealing directly with borough wide issues.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$25,422	\$27,390	\$25,315	\$30,311	\$25,944	\$629
Other Salaried and Unsalariated	1,754	2,020	1,087	1,103	1,103	16
Additional Gross Pay	1,337	919	627	627	627	0
Overtime - Civilian	862	1,190	1,021	1,021	1,021	0
Fringe Benefits	0	0	10	2,179	10	0
P.S. Other	-273	-69	0	0	0	0
Subtotal, PS	\$29,102	\$31,450	\$28,060	\$35,241	\$28,705	\$645
Other than Personal Services						
Supplies and Materials	\$276	\$1,244	\$1,402	\$954	\$1,383	(\$19)
Property and Equipment	551	458	625	745	385	(240)
Other Services and Charges	16,741	21,569	12,447	11,694	11,799	(648)
Contractual Services	1,521	1,774	1,428	2,868	1,428	0
Fixed and Misc Charges	20	2	4	6	4	0
Subtotal, OTPS	\$19,109	\$25,047	\$15,906	\$16,267	\$14,999	(\$907)
TOTAL	\$48,211	\$56,497	\$43,966	\$51,508	\$43,704	(\$262)
Funding						
Capital IFA City	N/A	N/A	\$3,788	\$3,859	\$3,865	\$78
City	N/A	N/A	39,151	39,908	38,041	(1,110)
Federal – Other	N/A	N/A	178	3,895	178	0
Intra City	N/A	N/A	49	23	23	(26)
Other Categorical	N/A	N/A	0	225	0	0
State	N/A	N/A	800	3,597	1,597	797
TOTAL	N/A	N/A	\$43,966	\$51,507	\$43,704	(\$261)

DOT's Fiscal 2011 Executive Budget includes \$43.7 million for this program area, \$262,000 less than the Fiscal 2010 Adopted Budget amount of \$44 million. However, the Fiscal 2011 Budget is \$7.8 million less than the current Modified 2010 Budget. This is primarily the result of additional State and federal funds received post adoption, which were used to fund 85 positions and other administrative overhead costs associated with the implementation of federal and State funded projects agency-wide. Because State and federal grants are generally recognized post adoption, the 85 positions are not currently recognized in the Fiscal 2011 Budget. The difference between the Fiscal 2011 Budget and the Adopted Budget is reflective of the additional funding needed for collective bargaining adjustment offset by decreases in OTPS funding.

Since adoption of the Fiscal 2010 Budget last June, funding for this program area has been affected by the following actions:

- **MTA Bus Depot Funding Switch:** DOT will use State funding of \$797,000 designated for the MTA Bus Company in place of City funds for energy related costs at two city-owned bus depots in Fiscal 2011 and the outyears - Flatlands Ave in Brooklyn and College Point in Queens.
- **Agency-Wide OTPS Reduction:** The Fiscal 2011 Executive Budget includes agency wide OTPS reduction for the Department of Transportation in the amount of \$1.6 million in Fiscal 2011 and \$2 million in Fiscal 2012.
- **DOT Security Contract Increase:** Due to increased security costs resulting from a prevailing wage rate determination, DOT has a new need of \$3.3 million for costs associated with its security contract with Allied Barton for armed and unarmed guards. This action is agency-wide and brings DOT's annual security contract costs with Allied Barton to \$14.8 million in Fiscal 2011 and the outyears.

DOT Vehicles, Facilities Management and Maintenance

Funding in this program area provides for the maintenance of the Department's approximately 3,000 vehicles and facilities citywide.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$8,718	\$9,517	\$8,804	\$9,661	\$8,251	(\$553)
Full-Time Salaried - Uniformed	2	0	0	0	0	0
Other Salaried and Unsalariad	180	241	25	25	25	0
Additional Gross Pay	417	234	160	160	160	0
Overtime - Civilian	1,059	1,203	1,256	1,767	1,266	10
Fringe Benefits	201	240	249	721	249	0
Subtotal, PS	\$10,577	\$11,435	\$10,494	\$12,334	\$9,951	(\$543)
Other than Personal Services						
Supplies and Materials	\$2,285	\$2,166	\$2,508	\$6,041	\$1,773	(\$735)
Property and Equipment	1,579	1,636	1,683	1,739	413	(1,270)
Other Services and Charges	2,724	12,388	13,931	13,950	14,252	321
Contractual Services	1,256	1,441	1,610	3,248	2,469	859
Fixed and Misc Charges	1	6,466	2	2	2	0
Subtotal, OTPS	\$7,845	\$24,097	\$19,734	\$24,980	\$18,909	(\$825)
TOTAL	\$18,422	\$35,532	\$30,228	\$37,314	\$28,860	(\$1,368)
Funding						
Capital IFA City	N/A	N/A	\$250	\$250	\$250	\$0
City	N/A	N/A	29,978	30,054	28,611	(1,367)
Federal – Other	N/A	N/A	0	5,273	0	0
State	N/A	N/A	0	1,737	0	0
TOTAL	N/A	N/A	\$30,228	\$37,314	\$28,861	(\$1,367)

DOT's Fiscal 2011 Executive Budget includes \$28.8 million in Fiscal 2011 for vehicles, facilities management and maintenance – \$1.4 million less than the Fiscal 2010 Adopted Budget amount of \$30.2 million. This decrease is primarily due to vacancy reduction for auto service worker positions and OTPS costs in this program area. The Fiscal 2011 Executive Budget is \$8.5 million less than the current Modified Budget. This is due in part to increased State and federal funding, post adoption, for fleet-wide emission reduction initiatives totaling more than \$6.2 million, including \$2 million for Hunts Point Diesel Emission program, in Fiscal 2010. The Fiscal 2011 Executive Budget includes funding for 127 positions in Fiscal 2011 for this program area – a decrease of 11 positions compared to the Fiscal 2010 Adopted Budget amount of 138 positions.

Since adoption of the Fiscal 2010 Budget last June, the following actions have altered the DOT vehicle, facilities management and maintenance program area budget:

- **Reduce Vehicle Parts Purchases:** The Executive Budget for the DOT includes a 25 percent reduction in vehicle parts purchases resulting in savings of \$650,000 in Fiscal 2011 and \$350,000 in Fiscal 2012.
- **Reduce Vehicle Purchases:** DOT will reduce its light vehicle purchases by 25 percent in Fiscal 2011 for savings of \$370,000.

- **Eliminate Auto Service Worker Vacancies:** DOT will eliminate senior auto service worker vacancies from its Fleet Services unit that have been vacant for more than a year. These positions responsibilities include preventive maintenance inspection and preparation work for repairs. This action will eliminate five positions for a savings of \$277,000 in Fiscal 2011.

Traffic Planning Safety and Administration

This program area is responsible for the supervision, planning and research, and general support of the Department's traffic and parking programs. The division also develops programs to enhance mobility for bicyclists and pedestrians, and studies traffic patterns and impacts of major projects and development.

<i>Dollars in thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	Difference 2011 vs. 2010
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$9,359	\$11,083	\$6,633	\$12,819	\$7,007	\$374
Other Salaried and Unsalariated	559	578	48	63	63	15
Additional Gross Pay	296	482	1,084	1,084	1,084	0
Overtime - Civilian	421	535	147	272	147	0
Fringe Benefits	0	0	30	3,031	30	0
Subtotal, PS	\$10,635	\$12,678	\$7,942	\$17,269	\$8,331	\$389
Other than Personal Services						
Supplies and Materials	\$2,086	\$931	\$440	\$2,029	\$440	\$0
Property and Equipment	3,177	823	988	1,331	988	0
Other Services and Charges	1,024	2,650	1,635	2,419	1,064	(571)
Contractual Services	3,489	7,176	1,105	22,773	1,105	0
Fixed and Misc Charges	2	0	2	5	2	0
Subtotal, OTPS	\$9,778	\$11,580	\$4,170	\$28,557	\$3,599	(\$571)
TOTAL	\$20,413	\$24,258	\$12,112	\$45,826	\$11,930	(\$182)
Funding						
Capital IFA City	N/A	N/A	\$250	\$250	\$250	\$0
City	N/A	N/A	11,863	17,794	11,681	(182)
Federal – Other	N/A	N/A	0	23,313	0	0
State	N/A	N/A	0	4,471	0	0
TOTAL	N/A	N/A	\$12,113	\$45,828	\$11,931	(\$182)

DOT'S Fiscal 2011 Executive Budget includes \$11.9 million for traffic planning safety and administration - \$182,000 less than the Fiscal 2010 Adopted Budget of \$12.1 million. However, when compared to the current Modified Budget for Fiscal 2010, the decrease is nearly \$34 million. This variance is due to the fact that DOT does not typically recognize State and federal funds until post budget adoption. The post adoption increases in State and federal funding for this program area include \$7.3 million for traffic signal modems, \$4.4 million for sub-regional planning, \$3.9 million for bus stop management, and \$3.5 million for bus rapid transit. As a result of the additional State and federal funds the traffic planning safety and administration's headcount increased from 76 to 182, an increase of 106 positions. The additional positions, however, are not recognized in the Fiscal 2011 Budget but would be recognized, post adoption, when and if State and federal grants become available.

Appendix A: Budget Actions Since Fiscal Year 2010 Adoption

<i>Dollars in thousands</i>	FY 2010			FY 2011		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per the June 2009 Plan	\$463,540	\$270,900	\$734,440	\$450,020	\$248,587	\$698,607
Program to Eliminate the Gap (PEGs)						
Agency-wide OTPS Reduction	\$0	\$0	\$0	(\$1,670)	\$0	(\$1,670)
Agency-wide PS Reduction	0	0	0	(1,984)	0	(1,984)
ARRA Operating Assistance Fund Switch	(4,670)	4,670	0	0	0	0
Arterial Highway Weekend O/T Reduct	0	0	0	(1,025)	0	(1,025)
CHIPS Funding Switch for Bridge Prog	(1,792)	1,792	0	(2,092)	2,092	0
Eliminate Auto Service Worker Vacancy	(137)	0	(137)	(277)	0	(277)
Eliminate Cleaning Crew Deckhand Pos	(30)	0	(30)	(363)	0	(363)
Eliminate Men's Room Deckhand	(69)	0	(69)	(841)	0	(841)
Eliminate Ponding/Speed Bump Unit	(720)	0	(720)	(1,447)	0	(1,447)
Ferry Maintenance Funding Switch	(3,872)	3,872	0	(3,110)	3,110	0
Increase Manhattan Passg Parking Rates	400	0	400	1,413	0	1,413
Planning & Sustainability OTPS Reduct	(1,094)	0	(1,094)	(5,086)	0	(5,086)
Reduce Injury Claims (Jones Act)	(1,045)	0	(1,045)	(1,045)	0	(1,045)
Reduction in O/T Weekend Cleaning Prog	(66)	0	(66)	(133)	0	(133)
Reduction of East River Ferry Service Fund	(88)	0	(88)	(320)	0	(320)
Rockaway Ferry Service Elimination	(300)	0	(300)	(300)	0	(300)
Signal Maintenance Contract Savings	(6,221)	0	(6,221)	(11,428)	0	(11,428)
Agency Rent Reduction	0	0	0	(607)	0	(607)
Homeland Security Reimbursement - Port	0	0	0	(1,548)	1,548	0
Federal Fund Switch- Bridge Inspections	0	0	0	(1,926)	1,926	0
MTA Bus Depot Energy Cost Fund Switch	0	0	0	(797)	797	0
NYCWIN Modem Funding Switch	0	0	0	(5,032)	5,032	0
Reduced Vehicle Parts Purchases	0	0	0	(650)	0	(650)
Reduced Vehicle Purchases	0	0	0	(370)	0	(370)
Streetlight Wattage Reduction Savings	(331)	0	(331)	(3,346)	0	(3,346)
Total, PEGs	(\$20,035)	\$10,334	(\$9,701)	(\$43,984)	\$14,505	(\$29,479)
New Needs						
DOT Facility Security Contract Increase	\$3,265	\$725	\$3,990	\$3,265	\$725	\$3,990
Ferry Security Funding	0	0	0	5,227	0	5,227
NY Stock Exchange Bollard Maintenance	0	0	0	125	0	125
Total, New Needs	\$3,265	\$725	\$3,990	\$8,617	\$725	\$9,342
Other Adjustments						
Anti Icing Program	\$0	\$2,093	\$2,093	\$0	\$0	\$0
Bicycle Network Development	0	6,516	6,516	0	0	0
Brooklyn Bridge FA -PM	0	1,408	1,408	0	0	0
Bus Rapid Transit	0	3,864	3,864	0	0	0
Bus Stop Management	0	1,366	1,366	0	0	0
Bus Lane Improvements	0	663	663	0	0	0
State CHIPS Funding	0	31,262	31,262	0	0	0
City State Roadside Maint SAMP	0	6,245	6,245	0	0	0
Citywide Congested Corridors	0	1,236	1,236	0	0	0

<i>Dollars in Thousands</i>	Fiscal 2010			Fiscal 2011		
	City	Non-City	Total	City	Non-City	Total
Cobblestone Reconstruction	\$0	\$1,578	\$1,578	\$0	\$0	\$0
Ferry Ridership & Design Study	0	1,582	1,582	0	0	0
Fleetwide Emission Reduction	0	1,076	1,076	0	0	0
Hunts Point Diesel Emission Prog	0	1,905	1,905	0	0	0
Manhattan Bridge FA/PM	0	953	953	0	0	0
Movable Bridges	0	5,193	5,193	0	0	0
Queensboro Bridge FA/PM	0	2,373	2,373	0	0	0
Safe Street for Seniors	0	1,868	1,868	0	0	0
SAFETEA-LU Thermoplastics	0	11,342	11,342	0	0	0
Stop DWI	0	2,404	2,404	0	0	0
Subregional Planning	0	3,739	3,739	0	0	0
Variable Pilot Pricing Prog VPPP	0	815	815	0	0	0
Williamsburg Bridge	0	2,637	2,637	0	0	0
Other FY10 Nov Plan Actions	0	4,009	4,009	0	0	0
Agency-wide PS Reduction	0	0	0	170	0	170
Arterial Highway Weekend O/T Reduction	0	0	0	73	0	73
Bridge When & Where	0	1,200	1,200	0	0	0
Bridges Inspection Program	0	2,633	2,633	0	0	0
Bus Rapid transit Supp - FED	0	1,500	1,500	0	0	0
Collective Bargaining	1,863	683	2,546	1,864	683	2,547
East River Ferry Funding Transfer	(2,112)	0	(2,112)	(3,680)	0	(3,680)
Eliminate Auto Service Worker Vacancy	35	0	35	74	0	74
Eliminate Cleaning Crew Deckhand Posit	9	0	9	112	0	112
Eliminate Men's Room Deckhand SI Ferry	15	0	15	189	0	189
Eliminate Ponding/Speed Bump Unit	93	0	93	194	0	194
Increase Manhattan Parking Rates	(10)	0	(10)	(1)	0	(1)
Municipal On-Road Diesel	0	2,972	2,972	0	0	0
Reduction in O/T Weekend Cleaning Prog	5	0	5	9	0	9
SI Asset Maint & Capital - Fed	0	984	984	0	0	0
Subregional Planning - Support	0	696	696	0	0	0
Traffic signal Modems	0	7,302	7,302	0	0	0
Brooklyn Waterfront Greenway	0	577	577	0	0	0
EDC to DOT Staff Transfer	0	0	0	75	0	75
Fuel	(16)	0	(16)	55	0	55
Gasoline	4,382	0	4,382	5,045	0	5,045
Heat, Light and Power	(13,461)	0	(13,461)	(3,465)	0	(3,465)
IFA Resurfacing Budget Adjustment	0	5,550	5,550	0	0	0
Lease Adjustment	0	0	0	458	0	458
Programatic Roll	(2,000)	0	(2,000)	2,000	0	2,000
State Funding Adj - Staten Island Ferry	300	(300)	0	1,100	(1,100)	0
Transit Signal Priority CMAQ	0	1,146	1,146	0	0	0
Other FY10 Jan Plan Actions	0	3,247	3,247	0	0	0
Other FY10 Exec Plan Actions	0	2,073	2,073	0	(26)	(26)
Total, Other Adjustments	(\$10,897)	\$126,390	\$115,493	\$4,272	(\$443)	\$3,829

<i>Dollars in Thousands</i>	Fiscal 2010			Fiscal 2011		
	City	Non-City	Total	City	Non-City	Total
PEG Restorations						
Continue Night Ferry Service	\$0	\$0	\$0	\$1,498	\$0	\$1,498
Total, PEG Restorations	\$0	\$0	\$0	\$1,498	\$0	\$1,498
Agency Budget as per the Fiscal 2011 Executive Plan	\$435,873	\$408,349	\$844,222	\$420,423	\$263,374	\$683,797

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Appendix B: Reconciliation of Program Areas to Units of Appropriation

Dollars in thousands

U/A	Personal Services					OTPS					TOTAL
	001	002	003	004	006	007	011	012	013	014	
Bridge Engineering and Administration	\$	\$	\$	\$	25,287	1,381	\$	\$	\$	\$	\$26,668
Bridge Maintenance, Repair & Operations					37,969	8,802					\$46,771
DOT Management & Administration	28,705						14,999				\$43,704
DOT Vehicles & Facilities Mgmt & Maintenance	9,029	922					18,910		0		\$28,861
Ferry Administration & Surface Transit			3,926						64		\$3,990
Municipal Ferry Operation & Maintenance			51,866						42,670		\$94,536
Roadway Construction Coordination & Admin		11,128						653			\$11,781
Roadway Repair, Maintenance & Inspection		93,673						80,453			\$174,126
Traffic Operations & Maintenance				60,831						180,597	\$241,428
Traffic Planning Safety & Administration				8,331						3,599	\$11,930
Grand Total	\$37,734	\$105,723	\$55,792	\$69,162	\$63,256	\$10,183	\$33,909	\$81,106	\$42,734	\$184,196	\$683,795